

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

01/29/2002 RMEBRAHT 00000065 10054374

01 FC:101                    740.00 OP  
02 FC:103                    162.00 OP

PTO-1556  
(5/87)

JULY 14 19 U.S. PTO  
01/22/02

01-24-02

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JC997 U.S. PTO  
10/054374  
01/22/02**UTILITY PATENT APPLICATION TRANSMITTAL***Submit an original and a duplicate for fee processing  
(Only for new nonprovisional applications under 37 CFR 1.53(b))*

<b>ADDRESS TO:</b>  Commissioner for Patents Box Patent Application Washington, D.C. 20231		Attorney Docket No. A11-26110US  First Named Inventor Gerard J. Sullivan  Express Mail No. EK934964652US
<b>APPLICATION ELEMENTS</b>		<b>ACCOMPANYING APPLICATION PARTS</b>
<p>1. <input checked="" type="checkbox"/> Transmittal Form with Fee 2. <input checked="" type="checkbox"/> Specification (including claims and abstract) [Total Pages 14] 3. <input checked="" type="checkbox"/> Drawings [Total Sheets 3] 4. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 3]</p> <p>a. <input checked="" type="checkbox"/> Newly executed b. <input type="checkbox"/> Copy from prior application <b>[Note Boxes 5 and 18 below]</b> i. <input type="checkbox"/> <u>Deletion of Inventor(s)</u> Signed statement attached deleting inventor(s) named in the prior application</p> <p>5. <input type="checkbox"/> Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>6. <input type="checkbox"/> Computer Code Listing (See 1.96) a. <input type="checkbox"/> Microfiche Appendix b. <input type="checkbox"/> CD-Rom (in duplicate, with separate transmittal)</p> <p>7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy c. <input type="checkbox"/> Statement verifying above copies</p> <p>8. <input type="checkbox"/> Small Entity Status <input type="checkbox"/> is claimed <input type="checkbox"/> Statement filed in prior application; status still proper and desired <input type="checkbox"/> is no longer claimed.</p>		<p>9. <input checked="" type="checkbox"/> Assignment Papers ✓ 10. <input checked="" type="checkbox"/> Power of Attorney 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS) <input type="checkbox"/> PTO-1449 Form <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (Should be specifically itemized) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) 16. <input type="checkbox"/> A Request for non-publication pursuant to 35 USC 122(b)(2)(B)(i) 17. <input checked="" type="checkbox"/> Other: Petition Under 37 C.F.R. § 1.47 (a)</p>
<p>18. <input type="checkbox"/> This is a CONTINUING APPLICATION. Please note the following:</p> <p>a. <input type="checkbox"/> This is a <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part of prior application</p> <p>b. <input type="checkbox"/> Cancel in this application original claims _____ of the prior application before calculating the filing fee. (At least one claim must remain.)</p> <p>c. <input type="checkbox"/> Amend the specification by inserting before the first line the sentence: This is a <input type="checkbox"/> continuation <input type="checkbox"/> divisional <input type="checkbox"/> continuation-in-part of application Serial No. _____</p> <p>d. <input type="checkbox"/> The prior application is assigned of record to _____</p>		

## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. A11-26110US

APPLICATION FEES				
BASIC FEE				\$ 740.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	29 -20=	9	x \$18.00	\$ 162.00
Independent Claims	3 - 3=	0	x \$84.00	\$
<input type="checkbox"/> Multiple Dependent Claims(s) if applicable			+\$280.00	\$
			Total of above calculations =	\$ 902.00
			Reduction by 50% for filing by small entity =	\$( )
<input checked="" type="checkbox"/> Assignment fee if applicable			+ \$40.00	\$ 40.00
Petition Under 37 C.F.R. § 1.47 (a)				\$130.00
			TOTAL =	\$ 1072.00

19.  Please charge my Deposit Account No. 01-1125 in the amount of \$20.  A check in the amount of \$1072.00 is enclosed.

21. The Commissioner is hereby authorized to credit overpayments or charge any additional fees of the following types to Deposit Account No. 01-1125:

- a.  Fees required under 37 CFR 1.16.
- b.  Fees required under 37 CFR 1.17.
- c.  Fees required under 37 CFR 1.18.

22. The Commissioner is hereby generally authorized under 37 CFR 1.136(a)(3) to treat any future reply in this or any related application filed pursuant to 37 CFR 1.53 requiring an extension of time as incorporating a request therefor, and the Commissioner is hereby specifically authorized to charge Deposit Account No. 01-1125 for any fee that may be due in connection with such a request for an extension of time.

## 23. CERTIFICATE OF MAILING

I hereby certify that, under 37 CFR § 1.10, I directed that the correspondence identified above be deposited with the United States Postal Service as "Express Mail Post Office to Addressee," addressed to the Commissioner for Patents, Box Patent Application, Washington, DC 20231, on the date indicated below.

## 24. USPTO CUSTOMER NUMBER



000128

PATENT TRADEMARK OFFICE

Honeywell International, Inc.

## 25. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name	Marcus J. Thymian
Reg. No.	43,954
Signature	
Date	January 22, 2002

UTIL (Rev. 11/15/01)

Hon. Commissioner of  
Patents and Trademarks

S/N: TBA

Atty: MJT  
Case No. 00-696

Re: Applicant - Sullivan, et al.

**HIGH DENSITY 3-D INTEGRATED CIRCUIT PACKAGE**

Sir:

Please place the Patent Office receipt stamp hereon and mail to acknowledge receipt of:

- Transmittal Letter (in duplicate)
- Patent Specification with three sheets of drawings
- Assignment
- Recordation Form Cover Sheet
- Declaration and Power of Attorney
- Declaration of Dennis Bremer
- Petition Under 37 C.F.R. § 1.47 (a)
- Check for \$1,072.00

Fee Enclosed \$ 1,072.00

Date Mailed: January 22, 2002

Respectfully,  
McDonnell Boehnen Hulbert & Berghof  
Attorney for Applicant

EK934964652US

DATE MAILED: January 22, 2002

SBU: \_\_\_\_\_

PATENT APPLICATION FOR: High Density 3-D Integrated Circuit Package

INVENTOR(S): Sullivan, et al.

THE FOLLOWING HAS BEEN RECEIVED IN THE U.S. PATENT AND TRADEMARK OFFICE  
ON THE DATE STAMPED HEREON:

- Transmittal Cover Sheet
- Patent Application
  - 8 page(s) specifications
  - 5 page(s) claims
  - 1 page(s) abstract
  - 3 sheet(s) of drawings  formal  informal
- Declaration/Power of Attorney  executed  unexecuted
- Assignment and Cover Sheet; \$ 40.00
- Information Disclosure Statement  Form PTO 1449 with \_\_\_\_\_ References
- Preliminary Amendment ( \_\_\_\_\_ page(s))
- Total fees charged to Deposit Account No. 01-1125: \$ \_\_\_\_\_

OTHER Petition Under 37 C.F.R. Section 1.47 (a) \$ 130.00

Attorney Docket No.: A11-26110US Attorney: Dennis Bremer